THE RENSSELAER UNION

2010-2012 BUDGETING GUIDELINES AND PROCEDURES

These guidelines have been developed to help clubs in the entire budgeting process, and are only excerpts from the *Rensselaer Union Budget Policy and Procedures*. In reading and adhering to these guidelines, you agree to follow all policies, guidelines, and procedures as found in the *Rensselaer Union Budget Policy and Procedures*. It is important to note that the Union Executive Board may choose to deal with club(s) in a manner not specifically stated in these documents on a case-by-case basis.

From the Rensselaer Union Constitution: "The purpose of this Union shall be to unite all its members in a commitment to the ideals for which Rensselaer stands, to expand the extracurricular life at Rensselaer, to coordinate all student organizations, to act as a medium through which student opinion may be expressed, and to work with all other members of the Rensselaer community to encourage student initiative and to lead student action in all interests which will serve the welfare and betterment of Rensselaer."

According to the *Rensselaer Union Constitution* and the *Executive Board By-Laws*, all budget guidelines are reviewed each year by the E-Board. This document shall be used in the course of budget preparation and approvals and the monitoring of the appropriated funds for all **Union Funded Clubs**. This document will go into effect for the Fiscal Years 2011 and 2012 (July 2010 - June 2011 and July 2011 - June 2012) budget-related activities. It shall be used in the development and approval of all proposed and final budgets for FY '11 and FY '12. Addendum(s) may be added to these policies with majority approval of the E-Board, and Union clubs and organizations will be notified immediately of such change(s).

SECTION I: STUDENT ACTIVITY RESOURCES TO HELP CLUBS AND ORGANIZATIONS

E-Board Representatives **-** The President of the Union assigns an E-Board Representative to each club. Each E-Board Representative represents several Union clubs. E-Board Representatives are available to help their clubs with issues relating to their budgets and programs, and are the official liaison of the club to the E-Board throughout the fiscal year including throughout the budgeting process. E-Board Representatives are simultaneously responsible to two constituencies: they have the responsibility of representing each of their clubs' interests to the E-Board, while at the same time representing the interests of all the students in the Union.

Student Activities Resource Staff - Each club is assigned a specific **'Student Activities Resource Person' (SARP)** who is knowledgeable about the issues relating to the club's specific activities, and who serves as a resource provider for the club. Signatures of SARPs on documents indicate only that the issue in question is consistent with said policy and do not endorse a particular program unless specifically stated, although the signature of a SARP is required on Union proposals. Students are not authorized to sign binding agreements or contracts for their organizations or for Rensselaer.

SECTION II: FUNDED CLUBS AND THEIR PRIVILEGES AND OBLIGATIONS

The Executive Board is the budgeting group of the Union, and fulfills its obligation "to coordinate all student organizations" by categorizing all student clubs and organizations as they relate to the Rensselaer Union. A club is categorized as a **Union Recognized Club** when it meets the *Criteria for Club Approval* and it has a Union approved purpose and Constitution. A club is categorized as a **Union Funded Club** if it meets the above criteria, and additionally is approved for Union funding contingent on having a Union approved budget. Union club recognition comes with certain responsibilities and privileges established to hold clubs responsible to the activity fee paying student body.

Rensselaer Polytechnic Institute may assume liability for all activities of the Institute. Rensselaer and the E-Board must determine in its view whether or not the scope of any proposed club or specific club program presents an abnormal risk to the students involved in the activity, to the Union as a whole, to the Institute or to the Rensselaer Community at large. It may need to consult recognized experts in determining the level of risk.

Because of its responsibility to the Institute, the Union policy mandates that all activities of **Union Funded Clubs** be approved in advance. Written approval must be obtained prior to any **Union Funded Club** activity, through either an approved budget or an E-Board Proposal for a new program, whether or not the activity is subsidized by the Union. This approval process also extends to any related activity that members of a club would like to do outside the club's approved budget, so that even if the club does not receive funding for the activity the members will be covered in the event of an accident related to the activity. The Institute will not cover liability resulting from an unapproved program. The student members of the club responsible for the activity will be held personally liable.

A. Accountability

All Rensselaer Union Funded Clubs and organizations are accountable to the student body for using Student Activity Fees to conduct their approved programs. The E-Board has established the following requirements for all Union Funded Clubs (in addition to working within their approved budgets) in order to retain their funding clubs are responsible for the following responsibilities:

1. **ACTIVITIES FAIR**	*Fall: September 2, 2010
2. **OFFICER LISTS**	*Fall: September 20, 2010 *Spring: January 31, 2011 *Summer Contacts: May 9, 2011
3. **FINANCIAL and TRAVEL WORKSHOPS**	*Before the end of September
4. **MEMBERSHIP LISTS**	*Fall; October 1, 2010 *Spring; January 28, 2011
5. **FINAL BUDGET**	*Due: December 21, 2010

SECTION III: UNION CLUB & ORGANIZATION AFFILIATION / PARTICIPATION

Union clubs and organizations should refer to the *Starter Constitution and Policies* dated 4/10/01 for all definitions on membership affiliations. The constitution defines volunteer members as "Those who are not eligible to be Regular or Associate Members because they are not affiliated with the Rensselaer Union or Rensselaer Polytechnic Institute, but whose talents, skills, or knowledge will assist the organization in fulfilling its purpose." Those not affiliated with RPI as students or their spouse or legal dependent, faculty, staff, alumni, or students of Hudson-Mohawk Consortium schools currently enrolled in courses at Rensselaer may not comprise more than 5% of the membership of any Rensselaer Union club without specific approval of the E-Board.

According to Article II of the *Rensselaer Union Constitution*, only currently registered RPI students who have paid an activity fee shall constitute the membership of the Union. Article IX, Section 2, states that only members of the Union at the time of elections shall have the right to vote. Spouses of currently registered RPI students who have paid an activity fee may be eligible to be Voting Members within a club or organization, but they are not considered members of the Union and may not participate in Student Government elections. The participation of persons who are not RPI students may be valid if the person adds value to the club through their participation. The determination is initially that of the club and ultimately that of the E-Board. If there are direct expenses for travel, uniforms, or other expenses deemed necessary for an individual's participation, the expenses will not be subsidized by the student activity fee or other income budgeted by the E-Board.

SECTION IV: BUDGETING AND PROCEDURAL GUIDELINES

Every December, each **Union Funded Club** must propose a budget for its activities for the next fiscal year by specifically stating what its expenses and income are for each integral part of their programs. Funds may be spent and/or collected only as provided for in the approved budget and the *Rensselaer Union Budgeting Guidelines and Procedures,* by May 19, 2011 in the approved budget year unless an exception is granted by the Union. Clubs and their officers are accountable for implementation of these policies and their budgets. The policies will be distributed to all officers who are authorized by the club to make expenditures. Upon being elected and by submitting an Officers list, all officers agree to accept ownership of these policies and of the responsibility to implement them with respect to their budget.

Club budgets are an expense line under the Rensselaer Union Budget and depending on fiscal conditions may need to be adjusted at any time during the fiscal year. No club is to exceed budget. If the club's account is at or near budget, it may be frozen by the E-Board to prevent further expenditure. A '**Club Subsidy**' is defined as expenses minus income; the actual subsidy will change over the course of the year for each club relative to the income it raises and the expenses it incurs. Clubs should adjust their programming accordingly over the course of the year so that they don't go over their original budgeted subsidy. In no event, except with prior E-Board approval, will the overall club subsidy be in excess of what is granted to the club through the annual budget approval process.

Approval for funding, or the fact that a club or organization has received funding in the past for any specific program, does not guarantee that a program will continue to receive Union funding. The Union will not subsidize any religious or political organization or group which claims affiliation with such an organization, nor will it fund any organization or event for a particular political or religious viewpoint.

A. BUDGETED INCOME, DUES, AND FUNDRAISING

The Union does not fully subsidize all program expenses. Unsubsidized expenses must have an accompanying income line in the budget to meet this difference. This income can be generated from dues, fees for services, fundraising, or personal contributions.

1. Clubs that are required to collect dues must collect at least the dollar amount per person specified in their budget as approved by the E-Board.

2. Clubs that collect dues shall collect at least 50% more from members who do not pay an activity fee such as visiting scholars, students from other colleges, faculty and staff. This does not apply to legal dependents of activity-fee-paying persons.

3. Clubs authorized to charge fees for their services must adhere to rates set by the E-Board.

4. Clubs may fundraise to meet their program expenses. The E-Board or Student Activities Resource Person must approve any fundraising initiative in advance, either through the budgeting process or by an E-Board Proposal. To conduct fundraising, clubs must state why and how they intend to raise the money, and how much they expect to raise. This approval is based on whether the fundraising is consistent with Rensselaer community standards, whether it violates any known local, state or national laws, and whether it is in the "spirit of Rensselaer." Fundraising initiatives will be approved using the following guidelines. If a club would like to conduct additional fundraising, they must submit a separate E-Board proposal. Any unauthorized fundraising may be referred to the Judicial Board.

5. It is an RPI policy that external bank accounts for club use are not permitted in the name of a club officer or the club itself. No club is to obtain a federal ID number of its own or use RPI's federal ID number. Violation of this policy will be handled by the Dean of Students and RPI's Vice President for Finance.

B. BUDGETED EXPENSES

Budgeted expenses are those expenses that are eligible for and have been approved for funding by the E-Board during budgeting. Prior to actually purchasing the items, new bids and quotes must be obtained as provided throughout this section. The Union will not subsidize (a) "personal tangible items" including, but not limited to, food, lodging, uniforms, t-shirts, and personal items; and (b) cases where the level of individual personal enrichment surpasses the benefits to those of the rest of the club or organization, or of the other members of the Union, except as provided for below.

1. Food

a. The amount of subsidy for food will not exceed $2.50 per person per semester, not to exceed the total activity fee paying membership of the club. Funds should be used for general membership events.

b. Programs involving food in the budget must also include an appropriate income line to cover the cost of the food except as provided in (a) or by special permission from the E-Board.

c. No meals will be subsidized. Examples of this would be food over the course of a budgeted trip or an "away" competition in which the club participates. Exceptions can be made by the E-Board.

2. Lodging

When a club is required to stay overnight for an event in which the members are competing or representing Rensselaer, the cost of lodging will be subsidized for each gender. The maximum price that the Union will subsidize for lodging for the FY'11 is $100 for rural trips and $150 for urban trips per room per night. Clubs are expected to budget based on a maximum of **3 people per room**.

3. Programs Involving Travel

The E-Board of the Union may subsidize travel for organized instruction, competition, entertainment and recreation, in the name of Rensselaer or in service to the Union, according to each club's purpose and goals. Anything stated herein does not guarantee E-Board approval of a proposed program involving travel.

a. General Travel Subsidy Guidelines

i. The Union will subsidize transportation at the rate of $.25 per mile per vehicle, or actual gas receipts, plus toll costs, whenever personal cars are the E-Board-approved method of transportation for a program. The Union can subsidize the cost to rent vans or buses. The maximum price that the Union will subsidize for van rentals for FY'11 will be $65 for one day trips and $155 for weekend trips.

ii. The Union promotes other modes of transportation (besides personal car) that may be safer and more economical. Groups traveling distances should consider renting a van to avoid potential personal liability. If there are more than four people going on the trip, it is recommended they go by seven-passenger rental van. All drivers must take the RPI risk management defensive driving course before they can drive the van. If there are more than 30 people, it is recommended they travel by chartered bus. Students should realize that anytime a personal vehicle is used, in NYS, the insurance policy of the owner of the car is the primary policy for all accident/damage claims.

b. Competition/Tournament/Performance/Educational/Instructional Trips

i. The Union must approve any club-sponsored trip in advance, and will set the appropriate subsidy level, if any, for that event(s).

ii. The entry fees from club-sponsored event(s) hosted by Union clubs must cover the costs for prizes and trophies for that event(s).

iii. For approved trips, "Trip fees" to be paid by club members are 60% of the total cost of each approved trip. Trip fees = Total expense (lodging, registration fees, gas & tolls, transportation, any other associated fees excluding meals) x 60% divided by the total number of club members traveling. The approved subsidy will be a fixed amount and will

not exceed the budgeted subsidy of the trip and will be based on the number of members attending the trip.

iv. If a club has won the right to participate in a local, regional or national event(s) that is not in their budget, after approval of the program by the E-Board, the Union may subsidize up to 70% of the cost of all expenses associated with the event(s) excluding meals, not to exceed $4000. In the event that both men's and women's teams qualify, then the total subsidy must be split equally between the two.

v. If a club is invited to compete or perform in an "Invitational" event(s), after approval of the program by the E-Board the Union may subsidize up to 40% of the cost of all expenses associated with the event(s), excluding meals, not to exceed $2000. In the event that both men's and women's teams qualify, then the total subsidy must be split equally between the two.

4. Uniforms/T-Shirts/Personal Items

Clubs may choose to take advantage of the purchasing power of the Union to acquire uniforms, t-shirts and personal items related to their club's activities, whether they are approved budget items or not. In any event, as approved budget items or not, the design of all uniforms, t-shirts and personal items must be consistent with Rensselaer Community standards, must not violate any known local, state or national laws, and must be in the "spirit of Rensselaer."

a. The Union may pay for uniforms, t-shirts or other approved personal items that have a lifetime of less than 1 year or remain the property of the club/team.

b. The Union will not fund uniforms, t-shirts or personal items that become the property of the individual members of the team/club or are customer-tailored or purchased to individual specifications.

c. In most cases, clubs will be required to take advanced orders and collect funds up front before a Payment will be processed on all t-shirts and memorabilia for club functions.

5. Referees/Coaches/Instructors

a. Instructors/Coaches may be subsidized up to 50% of the cost of the coach's compensation that includes salary plus fringe. Club dues must offset 50% of a coach's salary plus fringe benefits.

b. Coaches should not be given salary or benefit increases in club budgeting. Any changes made to these items will be discussed by the E-Board during general budgeting.

c. Funds allocated for coaches, instructors, or referees cannot be transferred to other programs.

d. The Union will pay for officials necessary to conduct valid competition. Any student may be paid for his or her services in this capacity.

6. Affiliations

a. The Union will subsidize 100% of the cost of organizational membership fees for clubs in national organizations for affiliations that the E-Board approves.

b. Club members' individual membership fees in applicable national organizations may be subsidized at a rate of 40%.

7. Club Services and Miscellaneous Expenses

a. Polytechnic Advertising - Polytechnic advertising is approved through the budgeting process by the E-Board, but is allocated as Poly Credits, which are equal to 1/8 of a page. The E-Board will evaluate each request and determine how much space they will be able to approve for allocation. The use of Poly Credits is contingent on space availability in the Polytechnic. Allocations will not be made for announcing club meetings, and Poly Credits should be used instead for special events.

b. UPAC Sound and Lights - Union Funded Clubs are not required to pay the rental fee on equipment but are required to pay technician fees for the students who work the event at $10 per hour per student worker.

c. Public Safety - Some events may require Public Safety for crowd control and/or liability purposes. The Union's staff evaluates each program to determine if their services will be required.

8. Conflict of Interest

There is a "conflict of interest" policy of Rensselaer Polytechnic Institute's Purchasing Department that concerns the purchase of goods and services. Goods and services provided by Rensselaer employees, students or independent contractors affiliated with the Rensselaer Union shall not be considered a conflict of interest if they are in compliance with the Institute's Policy. The Business Administrator of the Rensselaer Union will monitor this. For more information on policies see Rensselaer Purchasing Department policy #1.3.

INCOME/EXPENSE CHART AND CODES USED IN BUDGETING

001	Office Supplies	pens, paper, file folders, etc.
005	Uniforms	uniforms, t-shirts, etc.
006	Non-Capital Equipment	equipment purchases under $5000
007	Computer Software	software, upgrades, etc.
008	Computer Supplies	computer supplies other than software or repairs
009	Miscellaneous Supplies	
021	Advertising	forms of Media other than the Polytechnic
022	Postage	mailing letters through USPS
031	Food Service	on-campus food purchases through Sodexho
038	Photocopies	copies made on Union copiers
039	Laundry Services	cleaning uniforms, etc.
040	Printing	printing or copies from an off-campus vendor
043	Equipment Repair	repair costs for broken equipment
047	Other Service Fees	coaches, referees, performers, etc.
089	Membership Fees	membership or registration fees
099	Awards and Prizes	purchasing awards and prizes for tournaments or contests
1051	Cell Phone	cell phone monthly service fees
111	Travel	gas, tolls, hotel, vehicle rental, food while traveling, etc.
114	Food Service	off-campus local food purchases
175	Capital Equipment	equipment purchases over $5000
176	Capital Computer Equipment	computer equipment purchases over $5000
212	Exempt Part-Time Salary	use for music directors
213	Supplemental Salary	use for additional payments to regular RPI employees
222	Part-Time Non-Exempt Salary	
224B	Overtime Pay	use for Athletic Department Staff overtime
230	Benefits	30.2% of salary
557	Transfers	use for transfers to reserve accounts or other departments
564	Transfers	use for UPAC Lights and Sound
L11	General Books	purchases of general books
L12	Reference Books	purchases of reference books
L39	Journal Replacements	use for magazine subscriptions
PC	Poly Credits	used for advertising in the Polytechnic
T01	Telephones	use for monthly telephone fees on campus
T07	Telephones	use for long distance charges
T12	Telephones	use for local calls made
300	Travel Contingencies	use for travel related to championship tournaments
371	Membership Dues	use for membership dues paid by your club's members
RU0	Income from Programs	use for income from all your activities and trips fees